

#### Reference Manual - version 1.1





type A on request

# @MAXX prime

Multifunctional token (smartcard, microSD, flash, antenna)

# Reference manual

@MAXX prime (contact smartcard reader, microSD reader, masstorage, RF-antenna, female USB extension) USB-Stick

© SCM Microsystems
Oskar-Messter-Strasse, 13
85737 Ismaning
Germany

Phone +49 89 9595 5000 • Fax +49 89 9595 5555

# **Document history**

Date	Version	Description of change	Responsible — person
11/05/2009	0.1	Creation	Stephan Rasch
03/06/2009	1.0	Reviewed version	Stephan Rasch
08/06/2009	1.1	Reviewed version	Stephan Rasch
		Approved version	

# **Contact information**

 $\underline{\text{http://www.scmmicro.com/products-services/smart-card-readers-terminals/multifunctional-token.html}$ 

For sales information, please email <a href="mailto:sales@scmmicro.com">sales@scmmicro.com</a>

# **Table of Contents**

1. L	egal information	6
1.1.	Disclaimers	6
1.2.	Licenses	6
1.3.	Trademarks	6
2. Ir	ntroduction to the manual	7
2.1.	Objective of the manual	
2.2.	Target audience	
2.3.	Product version corresponding to the manual	
2.4.	Definition of various terms and acronyms	
2.5.	References	
2.6.	Conventions	8
2 (	Canadal information about @MAVV avima	10
	General information about @MAXX prime	
3.1.	@MAXX prime key benefits  @MAXX prime key features	
3.2.		
3.3. 3.4.	@MAXX prime ordering information	
3.4. 3.5.	@MAXX prime customization options	
3.5. 3.5	Hardware features and their principle usage	
3.5		
3.5 3.5		
3.5		
3.6.	Applications	16
3.6		
3.6. 4. <i>(d</i>	.2. Applications provided by SCM Microsystems	
4. (c 4.1.	·	
	C v v · p v · ng · · v · v · a · o · no · o · o · o · o · o · o · o ·	
4.1. 4.2.	Quick reference data	
4.2	.1. @MAXX prime dimensions	21
4.2	.2. @MAXX prime A dimensions	21
4.2 4.2		
5. S	Software modules	23
5.1.	Installation	23
5.2.	Utilities	
5.3.	Driver	
5.3		
5.3		

		CCID transport protocol	
		CID bulk messages supported	
	List of C	CID bulk messages not supported	24
6.	Comn	nands description	26
	6.1. Esc	ape commands for the contact interface	26
	6.1.1.	Sending escape commands to @MAXX prime	26
	6.1.2.	Escape command codes	
	6.1.3.	CCID_ESC_GETINFO	
	6.1.4.	CCID_ESC_SETMODE	
	6.1.5.	CCID_ESC_GETMODE	27
	6.1.6.	CCID_ESC_GET_FW_VERSION	
	6.1.7.	CCID_ESC_SET_POWER_ON_RESET_ORDER	
	6.1.8.	CCID_ESC_EMV_LOOPBACK	
	6.1.9.	CCID_ESC_APDU_TRANSFER	
	6.1.10.	CCID_ESC_CLK_FREQUENCY	
	6.1.11.	CCID_ESC_GET_SET_ETU	
	6.1.12.	CCID_ESC_GET_SET_WAITTIME	
	6.1.13.	CCID_ESC_GET_SET_GUARDTIME	
	6.1.14.	CCID_ESC_GET_SET_EGT	30
	6.1.15.	CCID_ESC_GET_SET_ATR_TIMEOUT	
	6.1.16.	CCID_ESC_POWER	
	6.1.17.	CCID_ESC_ROUGH_TANSFER	
	6.1.18.		
	6.1.19.	CCID_ESC_GET_SET_TA1_RFU	31
7.	Annex	(es	32
	7.1. Ann	nex A – Status words table	32
	7.2. Ann	nex B - Sample code using escape commands through Escape IOCTL	32

# 1.Legal information

#### 1.1. Disclaimers

The content published in this document is believed to be accurate. SCM Microsystems does not, however, provide any representation or warranty regarding the accuracy or completeness of its content and regarding the consequences of the use of information contained herein. If this document has the status "Draft", its content is still under internal review and yet to be formally validated.

SCM Microsystems reserves the right to change the content of this document without prior notice. The content of this document supersedes the content of previous versions of the same document. The document may contain application descriptions and/or source code examples, which are for illustrative purposes only. SCM Microsystems gives no representation or warranty that such descriptions or examples are suitable for the application that the reader may want to use them for.

Should you notice problems with the provided documentation, please provide your feedback to support@scmmicro.com.

#### 1.2. Licenses

If the document contains source code examples, they are provided for illustrative purposes only and subject to the following restrictions:

- You MAY at your own risk use or modify the source code provided in the document in applications you may develop. You MAY distribute those applications ONLY in form of compiled applications.
- You MAY NOT copy or distribute parts of or the entire source code without prior written consent from SCM Microsystems.
- You MAY NOT combine or distribute the source code provided with Open Source Software or with software developed using Open Source Software in a manner that subjects the source code or any portion thereof to any license obligations of such Open Source Software.

If the document contains technical drawings related to SCM Microsystems products, they are provided for documentation purposes only. SCM Microsystems does not grant you any license to its designs.

#### 1.3. Trademarks

MIFARE is a registered trademark of NXP Semiconductors BV.

Windows is a trademark of Microsoft Corporation.

MAC is a trademark of Apple Corporation.

#### 2.Introduction to the manual

#### 2.1. Objective of the manual

This manual provides an overview of the hardware and software features of the @MAXX prime (contact smartcard reader, microSD reader, mass storage, RF-antenna, female USB extension) reader, hereafter referred to as "@MAXX prime".

This manual describes in details interfaces and supported commands available for developers using @MAXX prime in their applications.

#### 2.2. Target audience

This document describes the technical implementation of @MAXX prime.

The manual targets software developers.

Should you have questions, you may send them to support@scmmicro.com.

#### 2.3. Product version corresponding to the manual

Item	Version
Hardware	SD-03-M-Ver-F
Firmware (SIM)	2.02
Windows Driver (PC/SC)	4.41
MAC OS Driver	5.0.6
(PC/SC)	
WinCE Driver for SIM interface	3.14
Linux Driver for SIM Interface	5.0.2

## 2.4. Definition of various terms and acronyms

Term	Expansion
APDU	Application Protocol Data Unit
ATR	Answer to Reset, defined in ISO/IEC 7816
ATS	Answer to select, defined in ISO/IEC 14443
Byte	Group of 8 bits
CCID	Chip Card Interface Device
CID	Card Identifier
CL	Contactless
DFU	Device Firmware Upgrade
DR	Divider receive: used to determine the baud rate between the reader to the card
DS	Divider send: used to determine the baud rate between the card to the reader
LED	Light emitting diode
MIFARE	is the NXP Semiconductors (a spin-off company formed out of Philips Semiconductors)- owned trademark of the reputedly most widely installed contactless smartcard
NA	Not applicable
NAD	Node Address
Nibble	Group of 4 bits. 1 digit of the hexadecimal representation of a byte. Example: 0xA3 is represented in binary as (10100011)b. The least significant nibble is 0x3 or (0011)b and the most significant nibble is 0xA or (1010)b

PCD	Proximity Coupling Device
PC/SC	Personal Computer/Smart Card: software interface to communicate between a PC and a
	smart card
PICC	Proximity Integrated Chip Card
PID	Product ID
Proximity	Distance coverage in the range of 5cm
PUPI	Pseudo unique PICC identifier
RFU	Reserved for future use
RF	Radio Frequency
STCII	Smart card reader controller ASIC from SCM Microsystems
USB	Universal Serial Bus
VID	Vendor ID
(xyz)b	Binary notation of a number $x, y, z \in \{0,1\}$
0xYY	The byte value YY is represented in hexadecimal

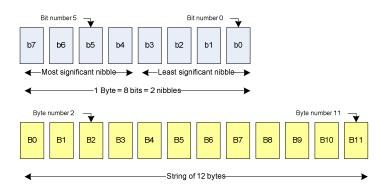
#### 2.5. References

Doc ref in the manual	Description	Issuer
ISO/IEC 7816-3	Identification cards — Integrated circuit cards — Part 3: Cards with contacts — Electrical interface and transmission protocols	ISO / IEC
ISO/IEC 7816-4	Identification cards - Integrated circuit(s) cards with contacts Part 4: Interindustry commands for interchange ISO/IEC 7816-4: 1995 (E)	ISO / IEC
ISO/IEC 14443- 3	Identification cards — Contactless integrated circuit(s) cards — Proximity cards — Part 3: Initialization and anticollision	ISO / IEC
ISO/IEC 14443- 4	Identification cards — Contactless integrated circuit(s) cards — Proximity cards Part 4: Transmission protocol ISO/IEC 14443-4:2001(E)	ISO / IEC
PC/SC	Interoperability Specification for ICCs and Personal Computer Systems v2.01	PC/SC Workgroup
CCID	Specification for Integrated Circuit(s) Cards Interface Devices 1.1	USB-IF
USB	Universal Serial Bus Specification 2.0	USB-IF

#### 2.6. Conventions

Bits are represented by lower case 'b' where followed by a numbering digit.

Bytes are represented by upper case 'B' where followed by a numbering digit.



#### Example:

163 decimal number is represented

- in hexadecimal as 0xA3
- in binary as (10100011)b

The least significant nibble of 0xA3 is

- 0x3 in hexadecimal
- (0011)b in binary

The most significant nibble of =xA3 is

- 0xA in hexadecimal
- (1010)b in binary

# 3. General information about @MAXX prime

#### 3.1. @MAXX prime key benefits

The @MAXX prime is an all-in-one device and the flagship of the @MAXX token family. Designed as a USB-stick the @MAXX prime is portable and can be connected to stationary PCs as well as Laptops. In addition to the normal smartcard reader the internal RF antenna converts the normal USB-stick together with a dual interface smartcard to a portable mobile passive NFC token. The microSD card slot creates an additional possibility to enlarge the existing embedded storage. The downstream port allows users to connect USB Low Speed devices having the slim USB connector. The typical application field of the @MAXX prime is the combination of physical and logical access within one device.

#### 3.2. @MAXX prime key features

- 8-pin, ISO7816 compliant contact smart card reader for ID-000 smartcards
  - o PC/SC v2.0 compliant
  - o CCID compatible
- Unique serial number for the Mass Storage interface
- Can be plugged into any USB slot on a PC without having to re-install the driver.
- Supports MicroSD cards up to 8GB
- embedded flash memory 1GB (up to 4 GB on request)
- internal passive RF-antenna connected to C4/C8 of the smartcard connector
- High-Speed downstream port for connecting low speed USB devices (with slim USB interface)

•

#### 3.3. @MAXX prime ordering information

Item	Part number	
@MAXX prime	905024	E. SCW
@MAXX prime A	905111	# SCM
Contact SDK	905129	SDK for Contact SmartCard

#### 3.4. @MAXX prime customization options

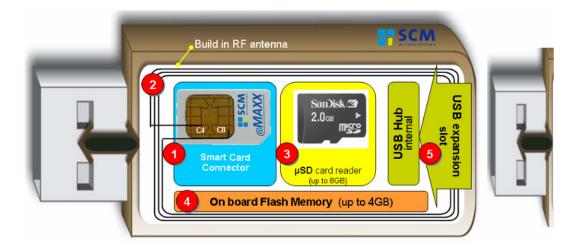
Upon request, SCM can customize:

- The color of the casing
- The logo
- The product label
- The USB strings for the Mass Storage Device
- Flash memory capacity

Terms and conditions apply, please contact your local SCM representative or send an email to sales@scmmicro.com.

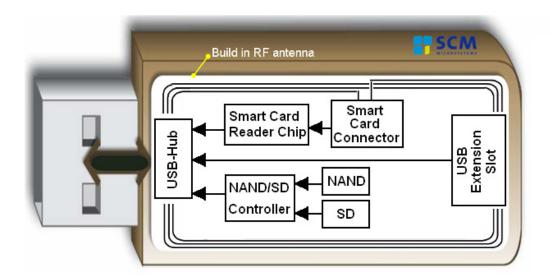
## 3.5. Hardware features and their principle usage

The @MAXX prime is a multifunctional token device, which can be used within a big application field. It can be used for one application or for a combination of several applications. In the following paragraphs the usage recommendations are outlined to ensure best user experience.



- Contact Smart Card Reader for dual interface Smart Cards with antenna connection on C4 / C8
- 2. Internal RF antenna
- 3. micro SD card reader
- 4. On board flash memory
- 5. USB expansion slot (via internal USB hub)

#### @MAXX schematics:



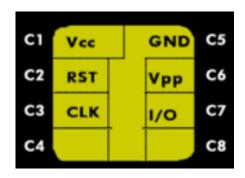
#### 3.5.1. Contact Smart Card Reader

The contact smart card reader is an 8-pin, ISO7816 compliant contact smart card reader for ID-000 smartcards. Its contacts C4 and C8 are connected with the internal RF antenna. Thus it is possible to use beside normal smartcards (microprocessor and memory cards) also dual interface smartcards with additional contacts on C4 / C8. Memory cards can be accessed using SCM's Memory Card DLL.

Note: Memory cards which supports C4 /C8 will not be able to work as these pins are used for the RF antenna.

Within a Windows® environment the smart card reader can be used with the PC/SC driver or with a preinstalled USB-CCID driver.

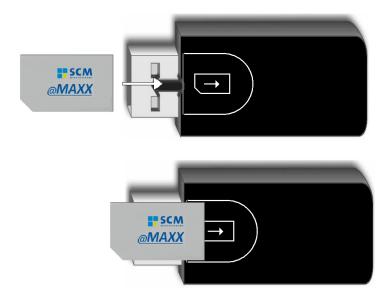
Windows® Vista and future Windows® operation systems have the USB-CCID driver as standard driver already installed. If Windows® XP is used as operating system, the USB-CCID driver is available as optional update.





Contact	Designation	Use
C1	Vcc	Power connection through which operating power is supplied to the microprocessor chip in the card
C2	RST	Reset line through which the IFD can signal to the smart card's microprocessor chip to initiate its reset sequence of instructions
C3	CLK	Clock signal line through which a clock signal can be provided to the microprocessor chip. This line controls the operation speed and provides a common framework for data communication between the IFD and the ICC
C4	Antena	RF antenna
C5	GND	Ground line providing common electrical ground between the IFD and the ICC
C6	Vpp	Programming power connection used to program EEPROM of first generation ICCs.
C7	I/O	Input/output line that provides a half-duplex communication channel between the reader and the smart card
C8	Antenna	RF antenna

#### Smart Card insertion:





#### 3.5.2. Internal RF antenna

The internal RF antenna is connected with the pins C4 / C8 of the smartcard reader for the usage of dual interface cards.

When a dual interface card is inserted in the @MAXX and the @MAXX is put in the magnetic field of any contactless reader, the internal RF antenna couples with the reader and an induction current appears in the antenna thus providing power to the integrated circuit. The generated current is proportional to the magnetic flux going through the antenna of @MAXX reader

The carrier frequency of the magnetic field is used as a fundamental clock signal for the communication between the reader and the card. It is also used as a fundamental clock input for the integrated circuit microprocessor to function.

To send data to the user token the reader modulates the amplitude of the field. There are several amplitude modulation and data encoding rules defined in ISO/IEC 14443. The reader should should be ISO 14443 compliant reader.

To answer to the reader, the integrated circuit card of the @MAXX modulates its way of loading (impedance) the field generated by the reader. Here also further details can be found in ISO/IEC 14443.

The best communication between the @MAXX (with inserted dual interface card) and a contactless reader can be established if the @MAXX is placed on the contactless reader with the @MAXX bottom side in direction to the contactless reader. The bottom side of the @MAXX is the side where the label and the smartcard insertion slot can be found. Depending on the used contactless reader and the used dual interface card, the @MAXX prime establishes a read out distance between 2cm – 3cm. A little bit bigger antenna within the @MAXX pime A results to a bigger read out distance up to 3.5cm.

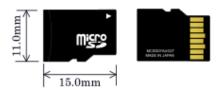
The communication between the reader and the @MAXX with dual interface card is sensitive to the presence of material or objects interfering with the magnetic field generated by the reader.

The presence of conductive materials like metal in the vicinity of the reader and the user token can severally degrade the communication and even make it impossible. The magnetic field of the reader generates Eddy or Foucault's currents in the conductive materials; the field is literally absorbed by that kind of material.

The presence of multiple @MAXXs in the field of contactless readers also interferes with the communication. When several @MAXXs are in the field of a contactless reader, load of the field increases which implies that less energy is available for each of them and that the system is detuned.

The communication between a contactless reader and the @MAXX is sensitive to the geometry of the system {reader, @MAXX}. Parameters like the geometry and specially the relative size of the reader and @MAXX antennas directly influence the inductive coupling and therefore the communication.

#### 3.5.3. Micro SD-Card Reader



The micro SD-card reader is connected with internal USB-hub over the micro SC-card controller. Micro SD-cards with up to 8GB storage are supported by the controller. MicroSD complies to SecureDigital<sup>TM</sup>(SD) card Rev. 2.0 and HC-SD (SDHC) specifications.

Beside the embedded flash memory the SD-Card reader enables the user to enlarge the storage of the device and is listed as a USB Mass Storage Device. No further driver installation is needed to run the Micro SD-card reader in a Windows®, Linux and Mac environment.

#### 3.5.4. Embedded Flash

Also the embedded flash memory is connected with the internal USB-hub over the flash controller. The actual hardware design is able to support embedded flash memory up to 4GB.

Several different partitions can be created on the flash memory:

- CD-Rom partition (with Auto-run function)
- Secure partition (PIN protected)

It is also possible to upload data on the flash and to create different partitions on production level.

#### 3.5.5. USB extension slot

The USB downstream port is connected directly with the internal hub. Due to the fact that the internal USB hub is a Bus-Powered USB hub, only low-power devices (up to 100mA) can be connected to the extension slot.

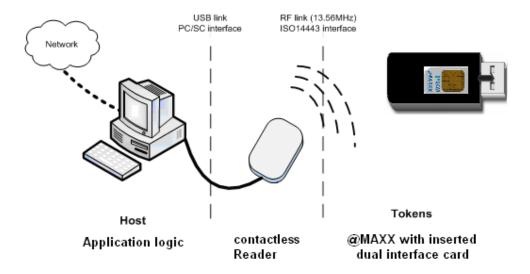
The @MAXX prime has only a USB extension slot for devices with a slim USB connector. The @MAXX prime A has a regular USB type A extension slot.

#### 3.6. Applications

#### **3.6.1.** General

@MAXX prime is a multifunctional device, designed to interface a personal computer host supporting PC/SC interface or CCID interface with ISO7816 smartcards in ID-000 format. Further the device can be used as a passive NFC tag by using a dual interface smartcard. And the @MAXX can be used as USB Mass Storage device and Micro SD-card reader.

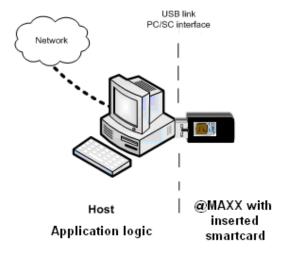
The following graphic shows the case study and the needed infrastructure if the @MAXX is used as a passive NFC token.



A similar use case is the door opener. In this case the host is not seen. The contactless reader is installed beside the door.



@MAXX prime itself handles the communication protocol but not the application related to the token. The application-specific logic has to be implemented by software developers on the host.



#### 3.6.2. Applications provided by SCM Microsystems

SCM Microsystems does not provide payment or transport applications.

SCM Microsystems provides a few applications for development and evaluation purposes that can function with @MAXX prime. There are many tools provided; here are two of them:

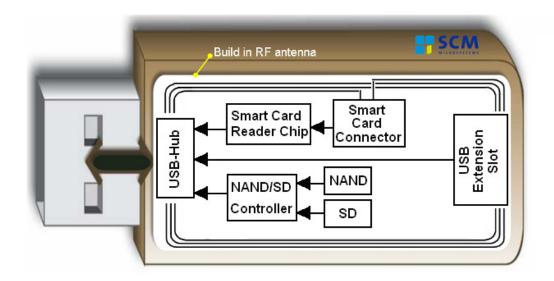
- The NFC forum tag reader/writer is a standalone application that enables the user to read and write NFC forum compliant records into NFC forum compatible tags. It is an easy to use tool to configure rapidly NFC forum tag demonstrations. Note: @MAXX PRIME supports NFC forum tag type 2 and 4, only.
- Smart card commander version 1.1 provides NFC forum record parsing functionality
  of NDEF records in XML format as well as scripting functionality which can be very
  useful for developers to develop and debug their applications. This tool can be used
  for both the contact and the contactless interfaces of @MAXX PRIME.

# 4.@MAXX prime characteristics

#### 4.1. @MAXX prime high level architecture

#### 4.1.1. Block diagram

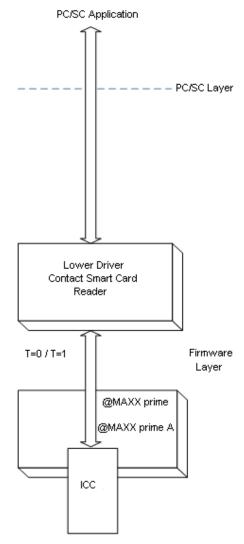
The link between @MAXX prime and the host to which it is connected is the USB interface providing both the power and the communication channel.



@MAXX Prime contains the SCM Mask ROM Controller for the SIM Interface. The Mask ROM firmware can handle all the ISO7816 contact protocol and the PC/SC communication protocol with the host.

#### Software architecture

Applications can interface with the driver directly through the PC/SC interface.



The @MAXX prime driver implements PC/SC v2.0 API towards upper layers and full CCID for the contact slot.

#### 4.2. Quick reference data

#### 4.2.1. @MAXX prime dimensions

Item	Characteristic	Value
	Weight	10,5 Grams
	External dimensions	L 57,3 mm × W 23,3mm × H 9,5mm
	USB connector	Slim USB connector
	Default color	Black rubberized
@MAXX prime	Default label	@MAXX Prime

Drawing with dimensions of the @MAXX prime and accessories can be found in annex.

#### 4.2.2. @MAXX prime A dimensions

Item	Characteristic	Value
	Weight	17,7 Grams
	External dimensions	L 66,8 mm × W 24,5mm × H 11,6mm
	(USB Connector)	USB type A connector
	Default color	Black rubberized
@MAXX prime A	Default label	MAXX Prime A customery Interest To Comply Prince With PCC Standards For Home or Office Use Supplement Suppleme

Drawing with dimensions of the @MAXX prime A and accessories can be found in annex.

#### 4.2.3. LED behavior

@MAXX prime and @MAXX prime A are equipped with a blue LED

@MAXX prime/ prime A states	LED Indication (blue)
Just after plug-in (with drivers already installed)	flashing
@MAXX connected (Idle State)	ON
Reader / card errors	OFF
Read or write on smartcard, flash storage, micro SD	Flashing
Suspend State	OFF

#### 4.2.4. Other data

#### 4.2.4.1. General

Parameter	Value/Description	
Clock of the device controller	24 MHz	
API	PC/SC 2.0, CCID	
Operating temperature range	-20°C to 60°C	
Operating humidity range	Up to 95%RH non condensing	
Certifications	USB (only for prime A) CE FCC VCCI WEEE RoHS RoHS green (on request without rubberized casing)	

#### 4.2.4.2. USB

Parameter	Value/Description	
Electrical High bus powered (@MAXX draws power from USB bus) Voltage: 5V Max. Current : 500mA		
USB specification	cation USB 2.0 Device	
USB Speed	High Speed Device (480Mbit/s), Tolerance +- 240kbit/s	
PID	0416 (Flash), 5116 (SIM) and 03F4 (Hub)	
VID	04E6	

#### 4.2.4.3. Contact interface

Parameter	Value/Description
Smart card operating frequency	4.8Mhz
Maximum supported card baud-rate	500Kbps
Cards supported	Class A, Class B, and Class C smart cards Synchronous smart cards
ISO-7816 compliant	Yes
EMV'2000 compliant	Not applicable (F/w. is EMV ready, but isn't applicable for this product)
CT-API compliant	Support Available
Number of slots	Single smart card slot for smart cards ID-000
Ejection mechanism	Manual

## 5. Software modules

#### 5.1. Installation

SCM provides an installer for Windows.

The installer can be used to install the driver as well as some utilities.

#### 5.2. Utilities

The following utilities are available:

- A tool for testing the installation of the PC/SC driver
- A tool for testing the resource manager
- A tool called PC/SC Diag capable of providing basic information about the reader and a card through PC/SC stack

# 5.3. Driver

#### 5.3.1. @MAXX listing

@MAXX prime and @MAXX prime A are listed

by PC/SC applications as

SCM Microsystems Inc. SCR33x USB Smart Card Reader 0

USB Mass Storage Device:

- SCMMICRO @MAXX Flash USB Device
- SCMMICRO @MAXX microSD USB Device

#### 5.3.2. Supported operating systems

Operating systems supported by the driver:

- Windows XP (32 & 64 bit)
- Windows Vista (32 & 64 bit)
- Linux (Kernel ver. 2.6.x) 32bit
- MAC OS 10.5 (Leopard) 32bit
- WinCE (32bit)

#### 5.4. Firmware

#### 5.4.1. CCID transport protocol

@MAXX prime and @MAXX prime A implements a transport protocol that is compliant with USB Device Class: Smart Card CCID Specification for Integrated Circuit(s) Cards Interface Devices Revision 1.10 for the contact smart card interface and CCID-like transport protocol for the contactless interface.

This paragraph describes the CCID specification features that are implemented and those that are not implemented.

#### 5.4.1.1. CCID class requests supported

Abort

#### 5.4.1.2. CCID bulk messages supported

The following section provides information on the list of CCID bulk messages (10-byte header followed by the message specific data) that have been implemented.

#### List of CCID bulk messages supported

- PC\_to\_RDR\_lccPowerOn
- PC to RDR IccPowerOff
- PC to RDR GetSlotStatus
- PC to RDR XfrBlock
- PC to RDR GetParameters
- PC\_to\_RDR\_SetParameters
- PC to RDR Escape
- PC to RDR Abort
- PC\_to\_RDR\_SetDataRateAndClockFrequency
- PC\_to\_RDR\_T0APDU
- PC to RDR ResetParameters
- PC to RDR lccClock

#### List of CCID bulk messages not supported

- PC\_to\_RDR\_Secure
- PC\_to\_RDR\_Mechanical

#### 5.4.1.3. CCID Error Codes

Extensive error codes are reported on many conditions during all CCID responses. Most of the error messages are reported by the CCID appropriately. Some of the main error codes for the contact interface are:

- HW ERROR
- XFR PARITY ERROR
- BAD ATR TS
- BAD\_ATR\_TCK
- ICC MUTE

The following sub-sections discuss when and why these error codes are returned:

This error code is returned when a hardware short circuit condition is detected, during application of power to the card or if any other internal hardware error is detected. This error code has been defined in the error code table 6.2-2 of the CCID specification.

This error code is returned when the card does not respond until the reader time out occurs. This error will be reported in the response to PC\_to\_RDR\_XfrBlock message and PC\_to\_RDR\_IccPowerOn messages. This error code has been defined in the error code table 6.2-2 of the CCID specification.

# 6. Commands description

#### 6.1. Escape commands for the contact interface

#### 6.1.1. Sending escape commands to @MAXX prime

A developer can use the following method to send escape commands to @MAXX prime for the contact interface

SCardControl method defined in PC/SC API

#### 6.1.2. Escape command codes

Escape commands can be used by an application to configure @MAXX prime to function in a mode that is not its default configured mode or to get specific information. To put the @MAXX PRIME back into its default mode, either the @MAXX prime has to be unplugged and plugged again or the application can send again the same escape command.

The following escape commands are supported by @MAXX prime for the contact interface

S.No.	Escape message ID	Value
1	CCID_ESC_GETINFO	0x00
2	CCID_ESC_SETMODE	0x01
3	CCID_ESC_GETMODE	0x02
4	CCID_ESC_GET_FW_VERSION	0x03
5	CCID_ESC_SET_POWER_ON_RESET_ORDER	0x04
6	CCID_ESC_EMV_LOOPBACK	0x05
7	CCID_ESC_APDU_TRANSFER	0x08
8	CCID_ESC_CLK_FREQUENCY	0x1F
9	CCID_ESC_GET_SET_ETU	0x80
10	CCID_ESC_GET_SET_WAITTIME	0x81
11	CCID_ESC_GET_SET_GUARDTIME	0x82
12	CCID_ESC_GET_SET_EGT	0x83
13	CCID_ESC_GET_SET_ATR_TIMEOUT	0x84
14	CCID_ESC_POWER	0xC0
15	CCID_ESC_ROUGH_TANSFER	0xC1
16	CCID_ESC_GET_SET_PROTOCOL	0xC2
17	CCID_ESC_GET_SET_TA1_RFU	0xC3

#### 6.1.3. CCID\_ESC\_GETINFO

This escape message ID gets static reader specific information from firmware to the host. Information includes the major and minor version, capabilities of the reader etc. The first byte of input buffer of shall have just one byte that will contain this escape function's value. The output buffer shall point to an application allocated SCARD\_READER\_GETINFO\_PARAMS structure mentioned below.

```
typedef struct _SCARD_READER_GETINFO_PARAMS
                      MajorVersion;
       uint8
       uint8
                      MinorVersion:
       uint8
                      SupportedModes;
       uint16
                      SupportedProtocols;
       uint16
                      InputDevice;
       uint8
                      Personality;
       uint32
                      Serial:
       uint8
                      MaxSlots;
} SCARD READER GETINFO PARAMS;
```

#### 6.1.4. CCID ESC SETMODE

This escape message ID sets the current mode of the reader. Applications may call this function, to set the desired mode. Typically, this call is used to switch between the EMV and ISO7816 operation. The first byte of the input buffer will contain the escape function value and the second one will contain the value for the desired mode of operation. The output buffer field shall be NULL.

Following table gives the value of modes as interpreted by firmware

S.No.	Mode	Value	Remarks
1	ISO	0x02	ISO 7816 mode
2	EMV	0x04	EMV

#### 6.1.5. CCID\_ESC\_GETMODE

This escape message ID retrieves the current mode in which the reader. The input buffer shall contain the escape function value. The output buffer shall point to a BYTE buffer.

Following table gives the value of modes as interpreted by firmware

S.No.	Mode	Value	Remarks
1	ISO	0x02	ISO 7816 mode
2	EMV	0x04	EMV

#### 6.1.6. CCID ESC GET FW VERSION

This function code shall be used by the application / driver to retrieve the current firmware revision of the reader.

The input buffer shall contain the escape code. The reader shall return a WORD parameter containing the firmware revision.

```
typedef struct _FW_REV
{
      uint8 byFirmwareMajor;
      uint8 byFirmwareMinor;
} FW_REV;
```

#### 6.1.7. CCID\_ESC\_SET\_POWER\_ON\_RESET\_ORDER

This code shall be used by the application / driver to change the smart card power-on sequence i.e. it shall direct the reader to start the card reset by applying by "Class A" voltage first and then retry sequentially with the other classes.

The input buffer shall contain the escape code followed by the RESET SEQUENCE data. The output buffer shall point to a byte and shall return the current RESET SEQUENCE selected.

S.No.	Mode	Value	Remarks
1	Class C	0x00	Starts with Class C voltage. This is the default mode of the reader.
2	Class A	0x01	Starts with Class A voltage
3	QUERY	0xFF	This is used to retrieve the current mode of operation.

#### 6.1.8. CCID ESC EMV LOOPBACK

This escape message ID lets the host force the library to perform an EMV Loop-back application.

The input buffer shall contain the escape function value. The output buffer field shall be NULL.

#### 6.1.9. CCID\_ESC\_APDU\_TRANSFER

This escape message ID exchanges an APDU with the smart card.

The input buffer shall contain the escape function value, followed by the APDU. The output buffer field shall point to user allocated buffer to the maximum size of 259 bytes.

The maximum number of bytes that can be received / sent is given below.

Transmit:

Case 1, 2, 3 APDU: Max of 256 bytes per APDU Case 4 APDU: Max of 255 bytes per APDU

Receive:

Max of 259 bytes per APDU

#### 6.1.10. CCID\_ESC\_CLK\_FREQUENCY

In case when an application wants to change the clock frequency, this escape ID is used to inform the reader about the change in clock. The first byte of the input buffer will contain the escape function value; the next byte will contain the clock divisor value. The output buffer field shall be NULL.

The possible clock divisor values and hence the clock frequency is given in the table below

CLOCK DIVISOR VALUE	CLK FREQUENCY (in MHz)		
	USB	RS232	
6	4	3.686	
4	6	5.53	
3	8	7.37	
2	12	11.06	

#### 6.1.11. CCID\_ESC\_GET\_SET\_ETU

This function code shall be used by the reader to get and set the current ETU. The ETU is specified in terms of smart card clock cycles.

The input buffer shall point to the escape code followed by a DWORD specifying the value to be set. The output buffer shall point to NULL.

#### 6.1.12. CCID ESC GET SET WAITTIME

This function code shall be used to set and get the character / block waiting time of the reader. The wait time is specified in terms of smart card clock cycles.

The buffer shall point to the escape code followed by the wait time structure.

```
typedef struct _WAIT_TIME
{
     uint8 byGetSetIdentifier;
     uint8 byWaitTimeIdentifier;
     uint32 dwWaitTime;
} WAIT_TIME;
```

#### 6.1.13. CCID ESC GET SET GUARDTIME

This function code shall be used to set and get the character / block guard time of the reader. The guard time is specified in terms of etus.

The buffer shall point to the escape code followed by the guard time structure.

```
typedef struct _GUARD_TIME
{
     uint8 byGetSetIdentifier;
     uint8 byGuardTimeIdentifier;
     uint32 dwGuardTime;
} GUARD_TIME;
```

#### 6.1.14. CCID ESC GET SET EGT

This function code shall be used to set and get the extra guard time of the reader. The guard time is specified in terms of etus.

The buffer shall point to the escape code followed by a structure given below.

```
typedef struct _EGT
{
     uint8 byGetSetIdentifier;
     uint32 dwEGT;
} EGT;
```

#### 6.1.15. CCID\_ESC\_GET\_SET\_ATR\_TIMEOUT

This function is used to change the delay (in ms) between the power-up attempts in the class-A, class-B, and class-C sequence;

The buffer shall point to the escape code followed by a BYTE containing the timeout value.

#### 6.1.16. CCID ESC POWER

This function code shall be used to change the VCC level for the reader. The input buffer shall point to the escape code followed by a WORD containing VCC value. The ICC will be reset to the value given in the buffer.

The supported smart card voltages are:

```
#define Vcc_AUTOMATIC 0x00
#define Vcc_5V 0x01
#define Vcc_3V 0x02
#define Vcc_1 8V 0x03
```

#### 6.1.17. CCID\_ESC\_ROUGH\_TANSFER

This command is used to perform raw exchange of data with the card. The input buffer for this command will contain the escape function value, low byte of the send length, high byte of the send length, low byte of the expected length, high byte of the expected length and the command. The output buffer field shall point to user allocated buffer.

#### 6.1.18. CCID\_ESC\_GET\_SET\_PROTOCOL

This function code shall be used to set and get the transmission protocol for the reader.

The buffer shall point to the escape code followed by a BYTE.

The supported protocols are:

#define PROTOCOL\_T0 0x00 #define PROTOCOL\_T1 0x01

#define PROTOCOL UNDEFINED 0xFF

#### 6.1.19. CCID\_ESC\_GET\_SET\_TA1\_RFU

Since the reader is compliant to ISO 7816-3 (1997), it will reject cards having a TA1 value which is RFU in respect to this version of the specification. Using this escape function the application can introduce such a card to the reader. If a card having this particular value of TA1 in the ATR is detected, it will be accepted by the reader and the maximum communication speed (i.e. 115200 bps) will be applied. The buffer shall point to the escape code followed by a BYTE.

# 7. Annexes

#### 7.1. Annex A – Status words table

SW1	SW2	Description
0x90	0x00	NO ERROR
0x67	0x00	LENGTH INCORRECT
0x6D	0x00	INVALID INSTRUCTION BYTE
0x6E	0x00	CLASS NOT SUPPORTED
0x6F	0x00	UNKNOWN COMMAND
0x63	0x00	NO INFORMATION GIVEN
0x65	0x81	MEMORY FAILURE
0x68	0x00	CLASS BYTE INCORRECT
0x6A	0x81	FUNCTION NOT SUPPORTED
0x6B	0x00	WRONG PARAMETER P1-P2

# 7.2. Annex B – Sample code using escape commands through Escape IOCTL

```
File Name : T_hbr.H
#ifdef __cplusplus
extern "C" {
#endif
#define IOCTL_CCID_ESCAPE
                                                     SCARD_CTL_CODE (0xDAC)
#define CCID_GET_848KBPS_STATUS
                                                     0xFF9D
#define CCID_SET_848KBPS_ON
                                                     0x019D
                                                     0x009D
#define CCID_SET_848KBPS_OFF
#define MINTIMEOUT
                                                     300
#ifdef __cplusplus
#endif
File Name : T_hbr.CPP
#include <windows.h>
```

```
#include <winbase.h>
#include <stdio.h>
#include <conio.h>
#include "winscard.h"
#include "winerror.h"
#include "T_hbr.H"
VOID main(VOID)
      SCARDCONTEXT
                           ContextHandle;
       SCARDHANDLE
                           CardHandle;
      BYTE
                           OutByte;
      WORD
                           InWord, i;
      DWORD
                            ActiveProtocol;
                                               /* ICC protocol */
      ULONG
                           InBufLen, ResLen;
      ULONG
                           ret;
       SCARD READERSTATE
                          Reader[1];
// please add the name of the used reader here or use {\tt SCardListReaders}
// to find the right reader name
            *ReaderName[] = {"SCM Microsystems Inc. @MAXX PRIME Contactless Reader
       char
0",
                                NULL };
                  *******************
*******
      ContextHandle = -1;
      ret = SCardEstablishContext(SCARD_SCOPE_USER, NULL, NULL, &ContextHandle);
       if (ret == SCARD_S_SUCCESS)
             ret = SCardConnect(
                                 ContextHandle,
                                   ReaderName[0],
                                   SCARD_SHARE_SHARED,
                                   SCARD_PROTOCOL_T0 | SCARD_PROTOCOL_T1,
                                   &CardHandle,
                                   &ActiveProtocol);
             if (ret == SCARD_S_SUCCESS)
```

```
{
       /* get actual 848kbps status: ON/OFF */
      InBufLen = 2;
      InWord = CCID_GET_848KBPS_STATUS;
      ret = SCardControl (CardHandle,
                            IOCTL_CCID_ESCAPE,
                            &InWord,
                            InBufLen,
                            &OutByte,
                             &ResLen);
       printf ("\n Get 848kbps status: %lx: %.2x", ret,OutByte);
       Reader[0].dwCurrentState = SCARD_STATE_UNAWARE;
       Reader[0].dwEventState = SCARD_STATE_UNAWARE;
       Reader[0].szReader = ReaderName[0];
      ret = SCardGetStatusChange( ContextHandle,
                                   MINTIMEOUT,
                                   Reader,
                                   1);
      printf ("\nATR: ");
       for (i=0; i<Reader->cbAtr; i++)
              printf ("%.2x ",Reader->rgbAtr[i]);
       printf ("\n----\n");
       /* enable 848KBPS: ON */
      printf ("\nEnable 848kbps ");
       InBufLen = 2;
      InWord = CCID_SET_848KBPS_ON;
       ret = SCardControl (CardHandle,
                            IOCTL_CCID_ESCAPE,
                            &InWord,
                            InBufLen,
                            &OutByte,
                            1,
                           &ResLen);
```

```
ret = SCardDisconnect(CardHandle, SCARD_RESET_CARD);
ret = SCardConnect (ContextHandle,
                      ReaderName[0],
                      SCARD_SHARE_SHARED,
                      SCARD_PROTOCOL_TO | SCARD_PROTOCOL_T1,
                      &CardHandle,
                      &ActiveProtocol);
/* get actual 848KBPS status: ON/OFF */
InBufLen = 2;
InWord = CCID_GET_848KBPS_STATUS;
ret = SCardControl (CardHandle,
                    IOCTL_CCID_ESCAPE,
                    &InWord,
                    InBufLen,
                    &OutByte,
                    &ResLen);
printf ("\n Get 848kbps status: %1x: %.2x", ret,OutByte);
Reader[0].dwCurrentState = SCARD_STATE_UNAWARE;
Reader[0].dwEventState = SCARD_STATE_UNAWARE;
Reader[0].szReader = ReaderName[0];
ret = SCardGetStatusChange (ContextHandle,
                            MINTIMEOUT,
                            Reader,
                             1);
printf ("\nATR: ");
for (i=0; i<Reader->cbAtr; i++)
       printf ("%.2x ",Reader->rgbAtr[i]);
printf ("\n----\n");
/* Disable 848Kbps: OFF */
printf ("\nDisable 848KBPS ");
InBufLen = 2;
InWord = CCID_SET_848KBPS_OFF;
ret = SCardControl(CardHandle, IOCTL_CCID_ESCAPE,
                      &InWord, InBufLen,
                      &OutByte, 1, &ResLen);
```

```
ret = SCardConnect(ContextHandle,
                                   ReaderName[0],
                                   SCARD_SHARE_SHARED,
                                   SCARD_PROTOCOL_TO | SCARD_PROTOCOL_T1,
                                   &CardHandle,
                                    &ActiveProtocol);
              /* get actual 848KBPS status: ON/OFF */
              InBufLen = 2;
              InWord = CCID_GET_848KBPS_STATUS;
              ret = SCardControl(CardHandle, IOCTL_CCID_ESCAPE,
                                    &InWord, InBufLen,
                                    &OutByte, 1, &ResLen);
              printf ("\n Get 848KBPS status: %lx: %.2x", ret,OutByte);
              Reader[0].dwCurrentState = SCARD_STATE_UNAWARE;
              Reader[0].dwEventState = SCARD_STATE_UNAWARE;
              Reader[0].szReader = ReaderName[0];
              ret = SCardGetStatusChange(ContextHandle, MINTIMEOUT, Reader, 1);
              printf ("\nATR: ");
              for (i=0; i<Reader->cbAtr; i++)
                     printf ("%.2x ",Reader->rgbAtr[i]);
              printf ("\n----\n");
              ret = SCardDisconnect(CardHandle, SCARD_RESET_CARD);
       }
       else
       {
              printf("\n SCardConnect failed with 0x%.81X",ret);
       ret = SCardReleaseContext(ContextHandle);
}
else
       printf("\n SCardEstablishContext failed with %.81X",ret);
printf("\npress any key to close the test tool\n");
getch();
```

ret = SCardDisconnect(CardHandle, SCARD\_RESET\_CARD);